I hereby certify that this correspondence is being deposited with the United Attorney Docket No.: 016556-003210US States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FEB 2 2 200 TOWNSEND and TOWNSEND and CREW LLP Mark T. Davis

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LI et al.

Application No.: 10/628,587

Filed: July 23, 2003

For: SINGLE PROMOTER SYSTEM FOR MAKING SIRNA EXPRESSION CASSETTES AND EXPRESSION LIBRARIES USING A POLYMERASE

PRIMER HAIRPIN LINKER

Examiner: Not yet assigned

Art Unit: 1632

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the non-U.S. references are enclosed. In accordance with the USPTO waiver of the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications, applicant has not submitted copies of the U.S. references. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the

LI et al.

Application No.: 10/628,587

Page 2

references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search/Examination report corresponding to the PCT application.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Hall

Kenneth A. Weber Reg. No. 31,677

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

KAW:mtd 60423541 v1



Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 1

Sheet

Complete if Known				
Application Number	10/628,587			
Filing Date	July 23, 2003			
First Named Inventor	Li, Henry			
Art Unit	1632			
Examiner Name	Not yet assigned			
Attorney Docket Number	016556-003210US			

	U.S. PATENT DOCUMENTS+						
		Document Number					
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
_	AA	US 2003/0144239 A1	07-31-2003	Agami et al.			
	AB	US-2004/0002077 A1	01-01-2004	Taira et al.	****		
	AC	US-2004/0005593 A1	01-08-2004	Lorens	·		
	AD	US-2004/0152172	08-05-2004	Geppert			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	AE	BEGER, Carmela et al.; "Identification of <i>Id4</i> as a regulator of <i>BRCA1</i> expression by using a ribozyme-library-based inverse genomics approach"; 2001, <u>PNAS</u> , Vol. 98, No. 1, pp. 130-135.		
	AF	BRUMMELKAMP, Thijn R. et al.; "A System for Stable Expression of Short Interfering RNAs in Mammalian Cells"; 2002, Science, Vol. 296, pp. 550-553.		
	AG	KAWASAKI, Hiroaki et al.; "Identification of genes by hybrid ribozymes that couple cleavage activity with the unwinding activity of an endogenous RNA helicase"; 2002, <u>European Molecular Biology Organization</u> , Vol. 3, No. 5, pp. 443-450.		
	АН	MIYAGISHI, Makoto et al.; "U^ promoter-driven siRNAs with four uridine' overhangs efficiently suppress targeted gene expression in mammalian cells"; 2002, Nature Biotechnology, Vol. 19, pp. 497-500.		

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.